2.1.P.2 Pharmaceutical Development

Abbreviations

| AS | Active substance |
|------|---|
| BCS | Biopharmaceutical Classification System |
| BE | Bioequivalence |
| CMA | Critical Material Attribute |
| CPP | Critical Process Parameters |
| CQA | Critical Quality Attribute |
| CU | Content Uniformity |
| EX | Experiment |
| FP | Final product |
| ICH | International Council for Harmonisation of Technical Requirements for |
| | Pharmaceuticals for Human Use |
| HPMC | Hydroxypropyl Methyl Cellulose |
| MCC | Microcrystalline Cellulose |
| QTPP | Quality target product profile |

1 Development Summary

The pharmaceutical development report summarizes the development of Hydroxychloroquine MEDITOP 200 mg film-coated tablets for clinical investigation for treatment of COVID 19 virus. The reference product is the immediate release (IR) dosage forms of hydroxychloroquine film- coated tablets named Plaquenil 200 mg film-coated tablets developed and manufactured by Sanofi Co., Ltd.

Plaquenil 200 mg film-coated tablets are used at adults for treatment of rheumatoid arthritis, discoid and systemic lupus erythematosus, and dermatological conditions caused or aggravated by sunlight; at paediatric population for treatment of juvenile idiopathic arthritis (in combination with other therapies), discoid and systemic lupus erythematosus.

Plaquenil 200 mg film-coated tablets have been investigated for the treatment of COVID-19.

Hydroxychloroquine is a small polar molecule, its molecular weight of about 335. Its solubility in buffers of pH 1.2 is > 4.83 mg/mL, of pH 4.5 and pH 6.8 is > 4.97 mg/mL, therefore is an active substance of high solubility from the point of the BCS (1).

Hydroxychloroquine is 67-74% bioavailable. Bioavailability of the R and S enantiomers are not significantly different (3).

On the base of its solubility and permeability properties hydroxychloroquine belongs to the Class 3 of the BCS. In April 2020 FDA finalized its Guidance on Hydroxychloroquine Sulfate which suggest an BCS Class 3-based biowaiver option on this active substance (4).

At development initially the quality target product profile (QTPP) was defined based on the properties of the drug substance, characterization of the reference products, and consideration of the reference product label and intended patient population.

Identification of critical quality attributes (CQAs) was based on the severity of harm to a patient (safety and efficacy) resulting from failure to meet that quality attribute of the drug product. For Hydroxychloroquine MEDITOP 200 mg film-coated tablets, these CQAs included assay, content uniformity, dissolution rate and impurities.

Taking into consideration the suggestion of FDA on BCS Class 3-based biowaiver option for this active substance at the development of the Hydroxychloroquine MEDITOP 200 mg film-coated tablets such product was developed that follows the composition and dissolution profile of the reference product. The dissolution method was determined as it is suggested in EMA Guideline on the investigation of bioequivalence, hereinafter GUIDELINE (5).

For formulation qualitatively the same types of excipients and near the same quantities as the reference product contains have been chosen. Risk assessment was used before the development to identify potentially high-risk formulation and process variables and to determine which studies were necessary to achieve product and process understanding in order to develop a control strategy.

Due to the high active substance content of the product the wet granulation process was chosen for preparation of the blend for compression. At the optimization process the following points were studied: quantity of the binder, the quantity of water (of the granulating liquid), the ratio of the inner and the outer phase of the blend.

Processing experience has been gained by manufacturing of three batches of 100.000 tablets in the GMP production site of Meditop. All the parameters of the tablets met with the predetermined acceptance criteria. The dissolution rate of the three batches was compared with the dissolution rate of the reference product according the GUIDELINE.

Data from stability studies performed in accordance with ICH guidelines show good stability of the product at the accelerated, the intermediate and long-term storage conditions.

The risk assessment was then updated after development to capture the reduced level of risk based on our improved product and process understanding.

2 Analysis of the Reference Products

The Reference Product is: Plaquenil 200 mg film-coated tablets

| Active substance | hydroxychloroquine |
|---------------------------------|----------------------|
| ATC-code: | P01BA02 |
| Marketing authorization holder: | Sanofi |
| Legal basis | Complete application |
| Authorization date | 07. April 2020 |

The product is manufactured by Sanofi, Spain.

Based on the product labeling the composition of the reference products are listed into Table 1.

 Table 2.1.P.2-1

 Composition of the reference Plaquenil 200 mg film-coated tablets

| Components | In one tablet |
|----------------------------|--|
| Hydroxychloroquine sulfate | 200 mg |
| (Hydroyxchloroquine) | (155 mg) |
| Excipients | Lactose monohydrate, Maize starch, Magnesium stearate, Polyvidone Opadry OY-L-28900 (containing hypromellose, macrogol 4000, titanium dioxide (E171), lactose) |

It can be concluded that the reference product contains well established excipients that conform the dosage form. The tablet core contains povidone as binder, lactose monohydrate as filler, maize starch as disintegrants and magnesium stearate as lubricant. The film coating of the tablets contains hypromellose as film forming polymer, titanium dioxide as opacifier macrogol 4000 as softener and lactose as binder to the tablet core.

The packaging of the product is 250 μm clear PVC/20 μm aluminum foil blister pack containing 56 or 60 tablets.

The weight of the Plaquenil 200 mg film-coated tablet is cc. 310 mg, therefore the tablet core weight is cc. 300 mg. Considering its SmPC the presumed composition of the composition of the reference product is the following:

| Component | Quantity (mg) |
|----------------------------------|---------------|
| Hydroxychloroquine sulfate | 200 |
| Lactose monohydrate | 30 |
| Maize starch | 55-65 |
| Povidone | 5-10 |
| Magnesium stearate | 2-5 |
| Film coating (Opadry OY-L-28900) | 10 |
| Total Mass (mg) | cc. 310 |

The reference product, Plaquenil 200 mg film-coated tablet is an immediate release product.

According to the BCS Class 3-based biowaiver the dissolution rate of the test and reference tablets should be very fast as measured by the paddle method at 50 rpm in 900 ml buffer of pH 1.2, 4.5 and 6.8 respectively.

During development, this method was used to control the dissolution rate of the reference Plaquenil and the Hydroxychloroquine MEDITOP 200 mg film-coated tablets as well.

The detailed data of the dissolution rate at given in *Part 2.1.P.2.2.3 Physicochemical and Biological Properties.*

Literature:

1./

Solubility Determination of Active Pharmaceutical Ingredients Which Have Been Recently Added to the List of Essential Medicines in the Context of the Biopharmaceutics Classification System – Biowaiver; G.F. Ploger et al. / Journal of Pharmaceutical Sciences 107 (2018) 1478-1488.)

2./

Chloroquine and hydroxychloroquine binding to melanin: Some possible consequences for pathologies; R.L. Schroeder, J.P. Gerber / Toxicology Reports 1 (2014) 963–968.

3./

(Furst DE: Pharmacokinetics of hydroxychloroquine and chloroquine during treatment of rheumatic diseases. Lupus. 1996 Jun;5 Suppl 1: S11-5. [PubMed:8803904]

4./

Guidance on Hydroxychloroquine; FDA Recommended Apr 2011; Finalizes Apr 2020

https://www.accessdata.fda.gov/drugsatfda_docs/psg/PSG_009768.pdf

5./

Guideline on the investigation of bioequivalence; CPMP/EWP/QWP/1401/98 Rev. 1).

https://www.ema.europa.eu/en/documents/scientific-guideline/guidelineinvestigation-bioequivalence-rev1 en.pdf

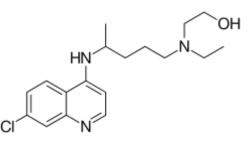
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2.1.P.2.1 Components of the Drug Product Drug substance

2.1.P.2.1.1 Drug Substance

INN name: IUPAC chemical name: Hydroxychloroquine sulfate 2-[4-[(7-chloroquinolin-4-yl)amino]pentylethylamino]ethanol;sulfuric acid

Structural formula:



Empirical Formula: General formula: CAS number: Molecular weight: Chemical character: pKa values: Melting point: Stability: H₂SO₄ C₁₈H₂₈ClN₃O₅S 747-36-4 434 g/mol base (possess three basic ionization sites) pH 4.0, 8.3 and 9.7 90°C fairly stable

According to the data of PAR for Hydroxychloroquinesulfaat 200 mg film-coated tablets, the drug substance under the accelerated conditions (40°C/75 % RH) for 6 months, and under the long-term storage conditions (25°C/60 % RH) for 5 years the quality remained well within the proposed limits (<u>https://db.cbg-meb.nl/Pars/h114949.pdf</u>).

| Pharmacokinetic data | |
|----------------------|--|
| Bioavailability: | 74 % |
| Absorption: | t_{max} is 3.0-3.5 hours after single dose of 200 mg |
| | C _{max} average is 129.6 ng/mL |
| Terminal half-life: | 2963 hours (123.5 days) |
| | |

<u>Solubility</u>

Hydroxychloroquine sulfate is freely soluble in water

Solubility in buffers of different pH values (section 2.1.P.2.2.3 Physicochemical and Biological Properties)

| Solvent | Solubility (mg/100 mL) | Solubility (mg/250 mL) | BCS* classification |
|---------------|---------------------------|---------------------------|------------------------|
| pH 1.2 buffer | 1221.00 | 3052.5 | Class I. or Class III. |
| pH 4.5 buffer | 1213.12 | 3032.8 | Class I. or Class III. |
| pH 6.8 buffer | 1215.60 | 3039.0 | Class I. or Class III. |

Therefore, the unit dose of Hydroxychloroquine sulfate 200 mg film-coated tablets and its highest therapeutic dose (400 mg) dissolves in 250 ml buffer of the entire physiological pH range.

Taking into consideration of the permeability and solubility properties the hydroxychloroquine sulfate belongs to the BCS Class III.

Polymorphism

Hydroxychloroquine sulfate has two polymorphic forms. The usual form melts at 240°C the other at 198°C.

Hygroscopicity

The drug substance is not hygroscopic at 25°C/51 % to 93 % RH.

Particle size

The material used for the pilot scale manufacture of Hydroxychloroquine 200 mg film-coated tablets is manufactured by IPCA. Three batches were used for the three stability samples.

The investigation of the particle size shows that more than 95 % of the material consists of particles smaller than 250 μ m that is appropriate for tablet manufacture by wet granulation technology.

By shifting method, the particle size distribution of the material is measured as follows:

| | C63-200405 laboratory scale | 20140HS4R11 pilot scale | 20137HS4R11 pilot scale | 20131HS4R11 pilot scale |
|--------------|-----------------------------------|----------------------------|----------------------------|----------------------------|
| abowe 250 µm | 4.7 % | 0.63 % | 0.03 % | 0.10 % |
| 63-250 μm | 93.2 % | 75.29 % | 65.59 % | 62.15 % |
| below 63 µm | 2.1 % | 22.16 % | 33.36 % | 36.68 % |

The material was processed without any further separate screening or milling.

Compatibility of the active substance with the excipients

A separate compatibility study between the hydroxychloroquine sulfate and the different excipients didn't make because all excipients which are used are components of the reference product as well: lactose monohydrate, maize starch, magnesium stearate, polyvidone, Opadry OY-L-28900 (containing hypromellose, macrogol 4000, titanium dioxide (E171), lactose).

The test product manufactured by GMP laboratory scale batch is put on stability test according to the ICH circumstances, and the result of the one, two and three months storing will be presented.

2.1.P.2.1.2 Excipients

The same well-known excipients which the reference product has were used to develop the test product.

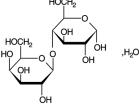
The tablet core has the following excipients:

- lactose monohydrate as filler
- povidone as binder
- maize (corn) starch as disintegrant
- magnesium stearate as lubricant

The film coating was made by the same pre-blended coating composition of Colorcon Ltd., type Opadry OY-L-28900, which contains hypromellose, macrogol 4000, titanium dioxide and lactose.

1 Lactose monohydrate

Ph.Eur:Lactose (Ph Eur monograph 0187)Synonyms:lactosum; milksugar; saccharum lactisChemical Name: $O-\beta-D$ -Galactopyranosyl- $(1\rightarrow 4)-\beta$ -D-glucopyranoseCAS Registry Number:[63-42-3]Empirical Formula: $C_{12}H_{22}O_{11}$. H₂OMolecular Weight:360.30Structural formula: $HOCH_2$



Definition:

Lactose monohydrate is the monohydrate of O-b-D-galactopyranosyl-(1®4)-a-D-glucopyranose. It may be modified as to its physical characteristics and may contain varying proportions of amorphous Lactose.

Characters:

A white or almost white, crystalline powder, freely but slowly soluble in water, practically insoluble in alcohol.

Functional Category:

Tablet and capsule diluent; tablet and capsule filler.

Functionality-Related Characteristics:

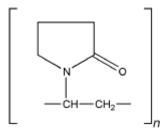
The type of Lactose is a milled one under brand name Granulac 200 is a standard crystalline and milled lactose monohydrate for wet granulation process. More than 90 % of the particles are smaller than 100 μ m by air-jet-sieving. The branded type is a guarantee for the proper particle size.

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2 Polyvidonpyrrolidone

PhEur: Synonyms:

Chemical Name: CAS Registry No.: Empirical Formula: Molecular Weight: Structural Formula Povidonum Kollidon; Plasdone; polyvidone; polyvinylpyrrolidone 1-Ethenyl-2-pyrrolidinone homopolymer [9003-39-8] (C6H9NO)n 2500–3 000 000



Functional Category

Disintegrant; dissolution aid; suspending agent; tablet binder.

Applications in Pharmaceutical Formulation or Technology

In tableting, povidone solutions are used as binders in wet-granulation processes. Povidone is also added to powder blends in the dry form and granulated in situ by the addition of water.

Description

Povidone occurs as a fine, white to creamy-white colored, odorless or almost odorless, hygroscopic powder.

| Typical Properties | |
|---------------------|---|
| Acidity/alkalinity: | pH = 3.0-7.0 (5% w/v aqueous solution). |
| Density (bulk): | 0.29–0.39 g/cm3 for Plasdone. |
| Density (true): | 1.180 g/cm3 |
| Melting point: | softens at 150°C. |
| Moisture content: | povidone is very hygroscopic, significant amounts of |
| Solubility: | moisture being absorbed at low relative MEDITOPmidity. freely soluble in acids, chloroform, ethanol, ketones, |
| | methanol, and water. |

Storage Conditions

Povidone may be stored under ordinary conditions without undergoing decomposition or degradation. However, since the powder is hygroscopic, it should be stored in an airtight container in a cool, dry place.

Safety

Povidone has been used in pharmaceutical formulations for many years. A temporary acceptable daily intake for povidone has been set by the WHO at up to 25 mg/kg body-weight. LD50(mouse, IP): 12 g/kg

Handling Precautions

Eye protection, gloves, and a dust mask are recommended.

Regulatory Status Accepted in Europe as a food additive.

3 Maize (Corn) Starch

| PhEur: | Maydis amylum (maize starch) |
|----------------------|---|
| Chemical Name: | Starch |
| CAS Registry Number: | [9005-25-8] |
| Empirical Formula: | (C6H10O5)n |
| Molecular Weight: | $50\ 000-160\ 000$ where $n = 300-1000$. |
| | Starch consists of amylose and amylopectin, two polysaccharides based on α -glucose. |
| Functional Category: | Glidant, diluent, disintegrant for tablets and capsules |

Description:

Starch occurs as an odorless and tasteless, fine, white-colored powder comprising very small spherical or ovoid granules whose size and shape are characteristic for each botanical variety.

| Acidity/alkalinity: | pH = 5.5-6.5 for a 2% w/v aqueous dispersion of corn starch, at 25°C. |
|---|---|
| Density (bulk): | 0.462 g/cm3 for corn starch. |
| Density (tapped): | 0.658 g/cm3 for corn starch. |
| Density (true): | 1.478 g/cm3 for corn starch. |
| Flowability: | Corn starch is cohesive and has poor flow characteristics. |
| Particle size distribution: Specific surface area: | 2–32 μm 0.41–0.43 m2/g |

Moisture content:

corn starches is hygroscopic and rapidly absorb atmospheric moisture. Approximate equilibrium moisture content values at 50% relative MEDITOPmidity are 11%.

Commercially available grades of corn starch usually contain 10–14% water.

Solubility:

Practically insoluble in cold ethanol (95%) and in cold water. Starch swells instantaneously in water by about 5–10% at 37°C

Stability and Storage Conditions:

Dry, unheated starch is stable if protected from high MEDITOPmidity. Starch should be stored in an airtight container in a cool, dry place.

Safety:

Starch is widely used as an excipient in pharmaceutical formulations, particularly oral tablets.

<u>Functionality-related characteristic:</u> None

4 Magnesium stearate

| Ph.Eur: | Magnesii stearas |
|-----------------------------|--|
| BP: | Magnesium stearate |
| USPNF: | Magnesium stearate |
| Chemical Name: | Octadecanoic acid magnesium salt |
| CAS Registry Number: | 557-04-0 |
| Empirical Formula: | C36H70MgO4 |
| Molecular Weight: | 591.34 |
| Structural Formula: | [CH3(CH2)16COO]2Mg |
| The Di Eng 2002 descuites N | To an animum at a such as a minimum of m |

The Ph.Eur 2002 describes Magnesium stearate as a mixture of magnesium salts of different fatty acids consisting mainly of stearic acid and palmitic acid and in minor proportions other fatty acids.

Functional Category: tablet and capsule lubricant.

Description:

Magnesium stearate is a fine, white, precipitated or milled, impalpable powder of low bulk density, having a faint odour of stearic acid and a characteristic taste. The powder is greasy to the touch and readily adheres to the skin.

| Typical Properties: | |
|------------------------|--|
| Crystalline forms: | high-purity Magnesium stearate has been isolated as |
| | a trihydrate, a dihydrate, and an anhydrate. |
| Density (bulk): | 0.159 g/cm3 |
| Density (tapped): | 0.286 g/cm3 |
| Density (true): | 1.092 g/cm3 |
| Flowability: | poorly flowing, cohesive powder. |
| Melting range: | 117–150°C (commercial samples) |
| | 126–130°C (high purity Magnesium stearate) |
| Solubility: | practically insoluble in ethanol, ethanol (95%), ether |
| | and water; slightly soluble in warm benzene and |
| | warm ethanol (95%). |
| Specific surface area: | 1.6–14.8 m2/g |

Stability and Storage Conditions:

Magnesium stearate is stable and should be stored in a well-closed container in a cool, dry place.

Functionality-related characteristic (Particle size distribution, specific surface area):

In the product magnesium stearate produced by Magnesia Co. is used.

The type of Magnesium stearate Magnesia 4264.

The specific surface area of the material is 1-4 m2/g.

The branded type is a guarantee for the proper specific surface area.

5 Opadry OY-L-28900

Complete film-coating system manufactured by Colorcon Ltd.

| Ph. Eur.: | non-compendial excipients mixture |
|----------------------|--|
| Functional Category: | film-coating material |
| Description: | white powder. |
| Ash content: | 23.80 – 32.20 % |
| Dispersion: | dispersible in water |
| Regulatory status | Fulfils the following requirements: GMO, TSE/BSE, Halal, Kosher, Aflatoxin, Metal Catalyst, Residual solvents, Irradiation/Ethylene Oxide, Dioxin |

Quantitative formula:

| Ingredient | Quantity % w/w | Grade | E Number | Quality |
|----------------------------|-------------------|---------|----------|----------|
| Lactose monohydrate | 36.000 | - | - | Ph. Eur. |
| HPMC 2910/ Hypromellose | 28.000 | 15 mPas | E464 | Ph. Eur. |
| Titanium dioxide | 26.000 | - | E171 | Ph. Eur. |
| Macrogol | 10.00 | MW 4000 | E1521 | Ph. Eur. |

Functionality-related characteristic: none

2.1.P.2.2 Drug Product

1 Drug Product Development Strategy

At the development of the Hydroxychloroquine MEDITOP 200 mg film-coated tablets, the same excipients were used as the reference product has both int tablet core and in the film-coating as well.

The characteristics of the reference products are given in part of 2.1.P.2-2 Analysis of the Reference Products.

For manufacture the wet granulation method was chosen due to the high active substance content (2/3 part) of the tablet core.

2 Target Product Profile

The target product profile summarises the quality attributes of the product required to meet the needs for safety and efficacy of the patient. Safety is assured by ensuring limits for assay and uniformity of dosage units to prevent the administration of excess drug substance and the total impurities is less than 0.6 % at the end of shelf life. Meeting globally-agreed limits for any microbiological contamination and application of appropriate GMP standards during manufacture assure the adequate microbiological quality.

Efficacy is assured by application of a dissolution limit according to equal to or greater than 85% of drug substance released within 15 minutes in buffer of pH 4.5. Similar to the safety justification, efficacy is assured by application of the pharmacopoeial acceptance criteria for uniformity of dosage units.

Table 2.1.P.2.2-1Target Product Profile of Hydroxychloroquine MEDITOP 200 mg film-coated
tablets

| Description | White or almost white, round, biconvex film-coated tablets with plain surfaces on both sides. |
|--------------------------|---|
| Identification | Positive |
| Assay | Labelled amount \pm 5 % |
| Uniformity of dose units | Meets pharmacopoeial acceptance criteria |
| Total impurities | Not more than 0.6 % |
| Dissolution | Very rapid dissolution (Q=80 % in 15 minutes) |
| Microbiological limits | Meet pharmacopoeial acceptance criteria |

3 Quality Attributes of the Drug Product

Table 2.1.P.2.2-2 summarizes the quality attributes of the Hydroxychloroquine MEDITOP 200 mg film-coated tablets developed and indicates which attributes were classified as drug product critical quality attributes (CQAs). For the products, assay, content uniformity (CU), dissolution and degradation products were identified as the subset of CQAs that have the potential to be impacted by the formulation and/or process variables and, therefore, will be investigated and discussed in detail in subsequent formulation and process development studies.

Table 2.1.P.2.2-2 Critical Quality Attributes of Hydroxychloroquine MEDITOP 200 mg filmcoated tablets

| Quality Attributes of the Drug Product | Target | Is this CQA? | Justification |
|---|--|-----------------|--|
| Appearance | No visual tablets defects observed. | No | Colour, shape and appearance are not directly linked to safety and efficacy. Therefore, they are not critical. |
| Odour | No | No | The odour is not directly linked to safety and efficacy therefore it is not critical. |
| Size | Conform to size of tablets | No | The size is not directly linked to safety and efficacy. Therefore, it is not critical. |
| Imprint | No | No | The imprint is not directly linked to safety and efficacy. Therefore, it is not critical. |
| Identification | Positive | Yes* | Formulation and process variables do not impact identity. |
| Assay | 100% w/w of label claim | Yes | Assay variability will affect safety and efficacy. Process variables may impact the assay of the drug product. |
| Content Uniformity (CU) | Conforms to Ph. Eur. | Yes | Variability in content uniformity affects safety and efficacy. Both formulation and process variables impact the content uniformity |
| Dissolution | Q= 80% at 15 min in 0.1 M HCl, volume 900 ml, rpm 50 | Yes | Variability in the dissolution rate can impact the efficacy. Both formulation and process variables affect the dissolution profile. |

| Quality Attributes of the Drug Product | Target | Is this CQA? | Justification |
|---|---|-----------------|--|
| Degradation products | Conforms to Ph. Eur. | Yes | Failure to meet the degradation specification can affect safety and efficacy. Both formulation and process variables impact the chemical stability |
| Residual Solvents | Contorm to ICH | | Formulation and process variables applied do not impact the residual solvent. |
| Loss on drying NMT 6.0% w/w | | Yes* | Formulation and process variables applied do not impact the water content. |
| Microbial Limits | Meet relevant pharmacopoeial criteria | Yes* | Formulation and process variables applied do not impact the water content. |

* This CQAs will not be discussed in detail during formulation and process development.

4 Preliminary Hazard Analysis

Considering the properties of the active substance (hydroxychloroquine), the excipients and the manufacture process, a Preliminary Hazard Analysis (PHA) was done as initial risk assessment, that help to establish the required experimental work.

2.1.P.2.2-1 Preliminary Hazard Analysis (PHA) of development of Hydroxychloroquine MEDITOP 200 mg film-coated tablets

| | | | СМА | | СРР | | | | |
|-------------|-------------|-----------------------|------------------------|-------------------|-----------------------------|--------------------|--------------------|-------------------|-----------|
| CQA | AS assay | AS impuri- ties | AS particle size | AS sensitivity | Type and rate of excipients | Working conditions | Wet granulation | Final blending | Tableting |
| Appearance | N | N | М | L | L | L | N | Ν | М |
| Assay | Н | L | N | Ν | N | L | N | L | М |
| CU | Ν | N | L | Ν | Ν | Ν | L | L | М |
| Dissolution | Ν | N | L | N | Н | Ν | L | Ν | М |
| Impurities | Ν | Η | L | L | L | L | N | Ν | N |

Color coding for relative risk ranking:

| High risk/H | affect quality attributes - further investigations and controls needed in order to reduce risk |
|---------------|--|
| Medium risk/M | potential to affect quality attributes - further investigations and controls may be needed in order to reduce risk |
| Low risk/L | low impact on quality attributes - no further investigations needed |
| No risk/N | no impact on quality attributes - no further investigations needed |

| 2.1.P.2.2-2 | |
|--|------------------------|
| Risk Assessment Plan for Justification and Risk Mitigation Strateg | gies for Risks of CMAs |

| СМА | CQA | Initial risk | Description of CMA's effect on FP CQAs | Risk mitigation Strategy / Control Strategy Action points |
|-----------------------------|----------------------------|-----------------|--|--|
| AS Assay | Assay | н | Product assay depends on the AS assay. | During weighing calculate the AS weight based on AS assay of the relevant AS CoA. |
| AS | Assay | L | Product may have low assay due to the high impurity level of API. | Control the impurity profile of the AS with |
| impurities | Impurities | Н | Product may have high impurity content due to the high impurity level of API. | analytical measurements according to the AS specification. |
| | Content Uniformity (CU) | L | Inappropriate particle size of AS may have effect on CU of product. | The wet granulation process leads to a low effect of particle size on FP content uniformity. |
| AS particle size | Dissolution | L | Too large particle size has effect on dissolution rate of the final product (FP) | The high solubility of the active ingredient leads to low effect on the dissolution rate. |
| particle size | Impurities | L | It is anticipated that potential degradation of active substance may be faster for smaller particle size, since it corresponds to the larger surface area. | The stability of the AS leads to low effect on the impurity level. |
| AS | Appearance | L | Sensitivity of AS to any environmental parameter (oxygen, temperature, moisture or light) may influence the appearance | According to the DMF of the AS is not hygroscopic and not sensitive to heat. |
| sensitivity | Impurities | L | of the product, and may have impact on FP appearance and impurity levels. | With standard working condition and packaging the risk can be eliminated |
| | Appearance | L | | However, the composition of test product is very |
| Type and rate of excipients | Dissolution | Н | Inappropriate type and rate of excipients may negatively affect the appearance, dissolution ate and degradation | similar to them the reference product has the rate of excipients should be optimized by dissolution |
| | Impurities | L | products of FP. | test. |

2.1.P.2.2-3 Risk Assessment Plan for Justification and Risk Mitigation Strategies for Risks of CPPs

| СРР | CQA | Initial risk | Description of CPP's effect on FP CQAs | Risk mitigation Strategy / Control Strategy Action points | |
|--------------|--------------|-----------------|---|--|--|
| | Appearance | L | Sensitivity of the components to any environmental parameter (oxygen, | According to the DMF of the AS is not hygroscopic | |
| Manufacturin | Assay | L | temperature, moisture or light) may influence the appearance of the | and not sensitive to heat. | |
| g conditions | Impurities | L | product, and may have impact on FP appearance, assay and impurity, if the air condition in the manufacturing place is not proper. | With standard working condition and packaging the risk can be eliminated. | |
| Wet | CU | L | Pre-blending/wetting/aggregation/drying/milling process affects particle size of the blend for compression. Inappropriate particle size affects | Due to the high AS content and high solubility of the AS the inappropriate particle size has low risk for CU | |
| granulation | Dissolution | L | flowability of the blend, and may have an influence on the content uniformity and may affect the dissolution rate of the AS. | and dissolution rate. With standard working the required particle size can be can be ensured. | |
| Final | Assay | L | The final blending might have risk from the point of the Assay and CU. | Due to the high AS content of the tablets with standard | |
| blending | CU | L | The final ofending hight have fisk from the point of the Assay and CO. | working the required Assay and CU can be ensured. | |
| | Appearance M | | | | |
| Tableting | Assay | М | | With proper control of the tableting process the required appearance, Assay and CU can be ensured. | |
| | CU | М | | | |
| Film-coating | Appearance | L | The coating may affect the appearance of the product. | With standard control of the coating process the required appearance can be ensured. | |

Therefore, in the case of the development of Hydroxychloroquine MEDITOP 200 mg film-coated tablets, the quality of the active substance and the quantities of excipients pose a high risk only. Because the quality of the active substance can't be changed at pharmaceutical development and the excipients are the same as the reference product has, the main issue of the development is the determination of the optimal composition from the point of tablet hardness and dissolution rate.

2.1.P.2.2.1 Formulation Development

1 Development of tablet composition

The initial composition was determined by the following aspects:

- the qualitative composition of the reference products
- the weight of the reference product
 o film-coated tablets ~ 310 mg
 - \circ tablet core ~ 300 mg
- lactose content given in the SmPC of the reference product (35,5 mg)
- the presumed lactose content of the film coating (5,5 mg)
- the presumed lactose content of the tablets is 30 mg
- the usual quantities of the other excipients of the whole tablets mass:
 - \circ maize starch 10-25%
 - \circ povidone 2-10%
 - \circ magnesium stearate 0,5 3 %

On the base of the aspects above the initial composition of the test product was the following:

| 0 | hydroxychloroquine | 200 mg |
|---|--------------------|--------|
| 0 | lactose | 30 mg |
| 0 | maize starch | 60 mg |
| 0 | povidone | 7 mg |
| 0 | magnesium stearate | 3 mg |

Taking into consideration the compositions in the first series of experiments the effect of the quantity of the binder and disintegrant was studied.

The experiments were made at laboratory scale using the following equipment:

- ProCept 4m8 high shear mixer with 1 Litre pot
- ProCept 4m8 fluid bed dryer with 1 Litre capacity
- IKA mill with 2 mm screen
- Korsch EK 0 eccentric tablet machine.

Manufacturing process

The materials to be granulated were shifted through a sieve of 0.8 mm and filled into the pot of the ProCept equipment (with 1 Litre capacity) and blended for 1 minute at impeller speed of 370 rpm without chopper. The granulating liquid (aqueous solution of povidone) was added to the powder blend at continuous stirring at impeller speed of 300 rpm and chopper speed of 500 rpm.

The wetted material was granulated further at mixing rate of 500/700 rpm.

The granulated material is dried in fluid bed dryer and milled by IKA mill through a screen of 2.0 mm and then the materials of the outer phase are blended to the granulated material.

The final blend is compressed into tablets of 200 mg weight by round biconvex tablet tools of 10 mm on a Korsch EK0 single punch tablet press.

In process control

- control of the loss on drying of the dried granules and the final blend
- control of the flowability of the final blend by funnel of 10 mm
- control of the bulk density and tapped density of the final blend
- control of the particle size distribution of the final blend

Control of the tablets

- control the weight of the tablets
- control of the height of the tablets
- control of the hardness of the tablets
- control of the friability of the tablets
- control of the disintegration time of the tablets
- control of the dissolution rate of the tablets

The tests were done according to the methods given in the part 2.3.P.

In the first series of experiments the active substance batch C63-200405 was used.

The results were the followings:

| Common on ta (a) | Number of experiments | | | |
|----------------------------|-----------------------|------------|----------|--|
| Components (g) | VAXVIII172 | VAXVIII184 | VAXIX046 | |
| Hydroxychloroquine sulfate | 200 | 200 | 200 | |
| Lactose monohydrate | 30 | 30 | 30 | |
| Povidon | 7 | 7 | 7 | |
| Maize starch | 60 | 57 | 53 | |
| Magnesium stearate | 3 | 6 | 9 | |
| Purified water | 12 | 12 | 12 | |
| Blend parameters | | | | |
| Loss on drying (%) | 3.6 | 2.9 | 2.1 | |
| Flowability (100 g) (s) | 9 | 19 | 25 | |
| Bulk density (g/mL) | 1.43 | 0.83 | 0.82 | |
| Hausner ratio (-) | 1.17 | 1.22 | 1.25 | |

Table 3.2.P.2.2.1-1 Tablet core compositions and blend parameters

| Tablet parameters/Nr. of exp. | VAXVIII172 | VAXVIII040 | VAXIX046 |
|--------------------------------|------------|------------|----------|
| Average weight (mg) | 300.0 | 299.6 | 302.8 |
| Weight variation (%) | 0.44 | 1.7 | 1.5 |
| Height of the tablets (mm) | 4.62 | 4.15 | 4.23 |
| Hardness (N) | 86 | 128 | 95 |
| Friability (%) | - | 0.08 | 0.26 |
| Disintegration time (min) | 6.5 | 6.5 | 7.0 |
| Appearance | Sticking | Suitable | Suitable |
| Dissolution rate (%) at 5 min. | - | 56.5 | 39.0 |
| Dissolution rate (%) at 15 min | - | 87.7 | 67.5 |
| Dissolution rate (%) at 30 min | - | 98.6 | 86.3 |

Table 3.2.P.2.2.1-2Parameters Hydroxychloroquine MEDITOP 200 mg tablets

In the second series of experiments the active substance batch 20140HS4R11 was used.

The results were the followings:

| Components (g) | Number of experiments | | | |
|----------------------------|-----------------------|---------|---------|--|
| Components (g) | VAXX002 | VAXX014 | VAXX022 | |
| Hydroxychloroquine sulfate | 200 | 200 | 200 | |
| Lactose monohydrate | 30 | 30 | 30 | |
| Povidon | 7 | 3.5 | 3.5 | |
| Maize starch | 57 | 63.5 | 69.5 | |
| Magnesium stearate | 6 | 3.5 | 3.5 | |
| Purified water | 12 | 12 | 14 | |
| Blend parameters | | | | |
| Loss on drying (%) | 2.2 | 1.8 | 1.7 | |
| Flowability (100 g) (s) | 35 | 22 | 27 | |
| Bulk density (g/mL) | 0.81 | 0.75 | 0.82 | |
| Hausner arány (-) | 1.22 | 1.22 | 1.27 | |

Table 3.2.P.2.2.1-3Tablet core compositions and blend parameters

| Tablet parameters/Nr. of exp. | VAXX002 | VAXX014 | VAXX022 |
|--------------------------------|----------|----------|----------|
| Average weight (mg) | 308.5 | 300.6 | 308.5 |
| Weight variation (%) | 1.0 | 0.97 | 0.6 |
| Height of the tablets (mm) | 4.33 | 4.28 | 4.31 |
| Hardness (N) | 107 | 93 | 108 |
| Friability (%) | 0.01 | 0.28 | 0.23 |
| Disintegration time (min) | 7.0 | 6.2 | 6.5 |
| Appearance | Suitable | Suitable | Suitable |
| Dissolution rate (%) at 5 min. | 41.2 | 44.4 | 55.3 |
| Dissolution rate (%) at 10 min | 78.7 | 79.5 | 84.9 |
| Dissolution rate (%) at 15 min | 87.3 | 95.4 | 98.3 |

Table 3.2.P.2.2.1-4Parameters Hydroxychloroquine MEDITOP 200 mg tablets

On the basis of the optimization process above the final composition of the test product was chosen as the following:

| Hydroxychloroquine sulfate | 200.0 mg |
|----------------------------|----------|
| Lactose monohydrate | 30. 0 mg |
| Povidon | 3.5 mg |
| Maize starch | 69.5 mg |
| Magnesium stearate | 3.0 mg |

2 Revised risk assessment after formulation development

The initial risk assessment and risk mitigation strategy tables were presented in Part 3.2.P.2.2; Drug Product; 4 Preliminary Hazard Analysis.

For those attributes that could have a high/medium impact on the drug product CQAs, actions were taken to control the medium and high risks. Whereas those attributes that had low impact on the drug product CQAs required no further investigation.

As regards the Assay and impurity of the Active Substance their effects can be decrease by applying Active Substance of proper properties.

As regards the effect of the rate of excipients on the properties of tablets prepared by the initial composition the quantity of the magnesium stearate and povidone had to be changed because in the case of the first choice sticking occurred during tableting.

Table 2.1.P.2.2.1-5Hazard Analysis (HA) of manufacture of Hydroxychloroquine MEDITOP200 mg tablets from the point of Critical Quality Attributes

| CQA | | | СМА | | |
|-------------|-------|------------|---------------|-------------|---------------|
| CQA | AS | AS | AS | AS | Type and rate |
| | assay | impurities | particle size | sensitivity | of excipients |
| Appearance | Ν | Ν | М | L | L |
| Assay | L | L | Ν | N | Ν |
| CU | Ν | Ν | L | N | Ν |
| Dissolution | Ν | Ν | L | N | L |
| Impurities | N | L | L | L | L |

Color coding for relative risk ranking:

| High/H | affect quality attributes – further investigations and controls needed in order to reduce risk |
|-----------|--|
| Medium/M | potential to affect quality attributes – further investigations and controls may be needed in order to reduce risk |
| Low/L | low impact on quality attributes - no further investigations needed |
| Low/L | low impact on quality attributes – risk is mitigated. |
| No risk/N | no impact on quality attributes - no further investigations needed |

Based on the activities performed in the formulation development, the related risks of the Critical Quality Attributes could be decreased to low level.

Table 2.1.P.2.2.1-6 Revised Risk Assessment for Justification and Risk Mitigation Strategies for Risks of CMAs

| СМА | CQA | Initial risk | Description of CMA's effect on FP CQAs | Risk mitigation Strategy / Control Strategy Action points | Results/ Summary | Revised risk |
|-----------------------------|----------------------------|-----------------|--|--|--|--------------|
| AS Assay | Assay | н | Product assay depends on the AS assay. | During weighing calculate the AS weight based on AS assay of the relevant AS CoA. | With the proposed AS specification control in place, the risk is considered to be low. | L |
| AS | Assay | М | Product may have low assay due to the high impurity level of API. | Control the impurity profile of the AS | With the proposed AS | L |
| impurities | Impurities | Н | Product may have high impurity content due to the high impurity level of API. | with analytical measurements according to the AS specification. | specification control in place, the risk is considered to be low. | L |
| | Content Uniformity (CU) | L | Inappropriate particle size of AS may have effect on CU of product. | The compaction granulation process leads to a low effect of particle size on FP content uniformity. | Low risk on quality attributes No investigations was needed. | L |
| AS particle size | Dissolution | М | Too large particle size has effect on dissolution rate of the final product (FP) | The slightly solubility of the active ingredient leads to medium effect on the dissolution rate. Appropriate particle size can reduce risk. | With the proposed AS particle size specification, the risk is considered to be low. | L |
| | Impurities | L | It is anticipated that potential degradation of active substance may be faster for smaller particle size, since it corresponds to the larger surface area. | Appropriate particle size can reduce risk. | Low risk on quality attributes No investigations was needed. | L |
| AS | Appearance | L | Sensitivity of AS to any environmental parameter (oxygen, temperature, moisture or light) may | According to the DMF of the AS is not hygroscopic and not sensitive to heat. | Low risk on quality attributes No investigations was needed. | L |
| sensitivity | Impurities | L | influence the appearance of the product, and may have impact on FP appearance and impurity levels. | With standard working condition and packaging the risk can be eliminated | Low risk on quality attributes No investigations was needed. | L |
| | Appearance | L | | | Low risk on quality attributes No investigations was needed. | L |
| Type and rate of excipients | Dissolution | Н | Inappropriate type and rate of excipients may negatively affect the appearance, dissolution ate and | reference product the rate of excipients cho | With quantities of the excipients chosen the risk is considered to be low. | L |
| Impurities | | L | degradation products of FP. | stability test. | Low risk on quality attributes No investigations was needed. | L |

2.1.P.2.2.2 Overages

Overages were not applied in this preparation.

2.1.P.2.2.3 Physicochemical and Biological Properties

1 In vitro dissolution

Scientific databases. official monographs and guidelines (issued by EMA. FDA. ICH) as well as the data presented by the manufacturer of reference product (Plaquel 200 mg film-coated tablets – Sanofi-Aventis Ltd.) had been overviewed and assessed before the *in vitro* dissolution method development and the subsequent *in vitro* dissolution studies to compare the in vitro performance of the reference product and the newly developed test products.

The dissolution method given in the USP Monograph of Hydroxychloroquine Sulfate tablets applies 900 ml water as dissolution medium and the Apparatus 2 (paddle) with 50 rpm stirring rate. The dissolution rate of the Plaquel 200 mg film-coated tablets was more than 85 % in these circumstances that offers the possibility of the BCS based biowaiver process for the generic development.

However, the BCS-based biowaiver process requires the dissolution comparison of the test and reference product in different buffers of the physiological pH range, therefore these media were used at the development.

As a basic test, the solubility of Hydroxychloroquine was evaluated in these buffers. Based on the literature data (Drugbank.ca) the pKa value of this active substance is 9.7 and 8.3. Because these values are out the physiological range, consequently the solubility at these pH-s wasn't tested.

- 500 ml media was filled into a vessel of dissolution tester (Apparatus 2)
- it was tempered to 37°C
- API was added gradually to the media and intensively stirred (paddle with 100 rpm) and the solubility was tested visually
- if the solubility is not complete, liquid was filtered through 0.45 μm cellulose ester filter
- API content was determined by UV method (details are described in section 1.2.1)

| Solvent | Solubility (g/100 ml) | Ph.Eur. definition | Solubility (mg/250 ml) | BCS* classification |
|----------------------------|--------------------------|-----------------------|---------------------------|------------------------|
| water | 1203.36 | very soluble | 3008.4 | - |
| pH 1.2 | 1221.00 | very soluble | 3052.5 | Class I./Class III. |
| acetate buffer at pH 4.5 | 1213.12 | very soluble | 3032.8 | Class I./Class III. |
| phosphate buffer at pH 6.8 | 1215.60 | very soluble | 3039.0 | Class I./Class III. |

Table 2.1.P.2.2.3-1Solubility of hydroxychloroquine

It can be concluded, that based on the GUIDELINE the solubility this active substance on the base its dose can be classified as a high solubility compound in the physiological pH range.

1.1 Parameters used for comparative analysis of *In vitro* dissolution profiles

1.1.1 Description of the dissolution method in 0.1 M hydrochloric acid

| Instrumentation: | ERWEKA DT-80 dissolution tester Agilent 708-DS dissolution tester UNICAM UV2 UV-VIS spectrophotometer |
|------------------|---|
| | Cary 60 UV-VIS spectrophotometer |
| Media: | 900 mL of 0.1 M hydrochloric acid |
| Method: | paddle |
| Agitation rate: | 50 rpm |
| Temperature: | $37 \pm 0.5^{\circ}\mathrm{C}$ |
| Sample amount: | 1 tablet per vessel |
| Time points: | 5, 10, 15, 30 and 45 min |
| Detection: | 343 nm |
| Type of cuvette: | 1 cm quartz |

Preparation of dissolution medium - 0.1 M hydrochloric acid Dissolve 8.3 mL cc. hydrochloric acid in 1000 ml purified water.

Preparation of standard solution

Dissolve 28,0 mg of Hydroxychloroquine working standard in 25 mL dissolution media (with the use of ultrasound) and filter it through filtering paper and 0.45 μ m CA (cellulose acetate) filter. Dilute this sample 100 times with dissolution media.

Sampling

Withdraw 5.0 ml of sample at the specified time points and filtered through 0.45 μm CA filter.

Dilute this sample 20 times with dissolution media.

Calculation

$$\frac{A_s}{A_{stai}}$$
 Dissolution (%) =

where:

- A_s = absorbance of the sample solution
- A_{std} = absorbance of the standard solution
- W_{std} = dose weight of the reference material, mg
- St = strength, mg

 x_{dilut} = dilution ratio

 P_{std} = potency of the reference material, %

Calculation:

Dissolution (%) =

$$\frac{A_s}{A_{std}} x \frac{W_{std}}{x_{dilut}} x P_{std}$$

where:

As= absorbance of the sample solutionAstd= absorbance of the standard solutionWstd= weight of the reference material, mgxdilut= dilution rate of samplePstd= potency of the reference material, %

1.1.2 Description of the dissolution method at pH 4.5

| Instrumentation: | ERWEKA DT-80 dissolution tester Agilent 708-DS dissolution tester |
|------------------|--|
| | UNICAM UV2 UV-VIS spectrophotometer |
| | Cary 60 UV-VIS spectrophotometer |
| Media: | 900 mL of dissolution media |
| Method: | paddle |
| Agitation rate: | 50 rpm |
| Temperature: | $37 \pm 0.5^{\circ}\mathrm{C}$ |
| Sample amount: | 1 tablet per vessel |
| Time points: | 5, 10, 15, 30 and 45 min |
| Detection: | 343 nm |
| Type of cuvette: | 1 cm quartz |

Preparation of dissolution medium – pH 4.5 (Acetate buffer)

Dissolve 2.99 g of sodium acetate trihydrate in 500 mL of purified water and add 14.0 mL of 2M acetic acid for the preparation of 1000 mL dissolution medium. Mix well and dilute to 1000.0 mL with purified water. The acidity of the medium is set to pH=4.5.

Preparation of standard solution

Dissolve 28,0 mg of Hydroxychloroquine working standard in 25 mL dissolution media (with the use of ultrasound) and filter it through filtering paper and 0.45 μ m CA (cellulose acetate) filter. Dilute this sample 100 times with dissolution media.

Sampling

Withdraw 5.0 ml of sample at the specified time points and filtered through 0.45 μm CA filter.

Dilute this sample 20 times with dissolution media.

Calculation

Dissolution (%) =
$$\frac{A_s}{A_{std}} \times \frac{W_{std}}{St} \times P_{std} \times X_{dilul}$$

where:

 $\begin{array}{lll} A_s &= absorbance \ of \ the \ sample \ solution \\ A_{std} &= absorbance \ of \ the \ standard \ solution \\ W_{std} &= dose \ weight \ of \ the \ reference \ material, \ mg \\ St &= strength, \ mg \\ x_{dilut} &= dilution \ ratio \\ P_{std} &= potency \ of \ the \ reference \ material, \ \% \end{array}$

Calculation:

Dissolution (%) =
$$\frac{A_s}{A_{std}} x \frac{W_{std}}{x_{dilut}} x P_{std}$$

where:

As= absorbance of the sample solutionAstd= absorbance of the standard solutionWstd= weight of the reference material, mgxdilut= dilution rate of samplePstd= potency of the reference material, %

1.1.3 Description of the dissolution method at pH 6.8

| ERWEKA DT-80 dissolution tester Agilent 708-DS dissolution tester UNICAM UV2 UV-VIS spectrophotometer Cary 60 UV-VIS spectrophotometer |
|---|
| 900 mL of dissolution media |
| paddle |
| 50 rpm |
| $37 \pm 0.5^{\circ}C$ |
| 1 tablet per vessel |
| 5, 10, 15, 30 and 45 min |
| 343 nm |
| 1 cm quartz |
| |

Preparation of dissolution medium – pH 6.8 (Phosphate buffer)

Mix 250.0 mL of 0.2 M potassium dihydrogen phosphate and 112 mL of 0.2 M sodium hydroxide and dilute to 1000.0 mL with purified water. The acidity of the medium is set to pH=6.8.

Preparation of standard solution

Dissolve 28,0 mg of Hydroxychloroquine working standard in 25 mL dissolution media (with the use of ultrasound) and filter it through filtering paper and 0.45 μ m CA (cellulose acetate) filter. Dilute this sample 100 times with dissolution media.

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Sampling

Withdraw 5.0 ml of sample at the specified time points and filtered through 0.45 μm CA filter.

Dilute this sample 20 times with dissolution media.

Calculation

Dissolution (%) =
$$\frac{A_s}{A_{std}} \times \frac{W_{std}}{St} \times P_{std} \times X_{dilut}$$

where:

 A_s = absorbance of the sample solution

 A_{std} = absorbance of the standard solution

 W_{std} = dose weight of the reference material, mg

St = strength, mg

 x_{dilut} = dilution ratio

 P_{std} = potency of the reference material, %

Calculation:

Dissolution (%) =
$$\frac{A_s}{A_{std}} x \frac{W_{std}}{x_{dilut}} x P_{std}$$

where:

As = absorbance of the sample solution

Astd = absorbance of the standard solution Wstd = weight of the reference material, mg

xdilut = dilution rate of sample

Pstd = potency of the reference material, %

1.2 Evaluation of results

The results obtained in these comparative *in vitro* dissolution tests were evaluated according to *Guideline on the Investigation of Bioequivalence CPMP/QWP/EWP/1401/98 Rev. 1.* Consequently, where more than 85% of the drug is dissolved within 15 minutes, dissolution profiles may be accepted as similar without further mathematical evaluation. In case more than 85% is not dissolved at 15 minutes, dissolution similarity is determined by using the f_2 statistic.

$$f_2 = 50 \times \log \left[100 / \left(1 + (1/n) \sum_{t=1}^{n} |R_t - T_t|^2 \right)^{-0.5} \right]$$

where f_2 is similarity factor, n is the number of observations, R_t is percentage drug dissolved from reference formulation, and T_t is percentage drug dissolved from test formulation. All the following requirements of the abovementioned guideline for the calculations were taken into account.

- A minimum of three time points (zero excluded)
- The time points should be the same for the two formulations
- Twelve individual values for every time point for each formulation
- Not more than one mean value of > 85% dissolved for any of the formulations.
- The relative standard deviation or coefficient of variation of any product should be less than 20% for the first point and less than 10% from second to last time point.

1.3 Tested products

Reference product: Plaquenil 200 mg film-coated tablets – Sanofi Co., Ltd. batch number: **OR161**

Test product: Hydroxychloroquine MEDITOP 200 mg film-coated tablets – Meditop Pharmaceuticals Ltd. batch numbers: HI12001 HI12002 HI12003

1.4 Results

1.4.1 *In vitro* dissolution profiles at pH 1.2

Table 2.1.P.2.2.3-2

Dissolution of Hydroxychloroquine from the reference product (batch number: OR161) in 0.1N HCl (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|---------------|--------|
| 1. | 59.4 | 88.0 | 98.7 | 92.2 | 92.8 |
| 2. | 55.9 | 83.4 | 94.1 | 92.1 | 92.6 |
| 3. | 53.0 | 86.5 | 94.4 | 97.3 | 97.9 |
| 4. | 67.4 | 92.5 | 96.0 | 98.4 | 98.0 |
| 5. | 64.0 | 87.7 | 95.9 | 99.8 | 100.5 |
| 6. | 70.1 | 91.1 | 97.1 | 99.5 | 98.9 |
| 7. | 56.6 | 85.6 | 96.0 | 99.0 | 99.4 |
| 8. | 65.2 | 88.7 | 96.4 | 98.7 | 98.3 |
| 9. | 60.5 | 87.5 | 96.6 | 100.4 | 99.4 |
| 10. | 71.6 | 95.5 | 100.8 | 101.7 | 101.4 |
| 11. | 70.7 | 93.3 | 97.6 | 98.4 | 97.8 |
| 12. | 71.3 | 91.9 | 99.2 | 101.5 | 101.3 |
| Average | 63.8 | 89.3 | 96.9 | 98.2 | 98.2 |
| SD | 6.6 | 3.6 | 1.9 | 3.1 | 2.8 |
| CV (%) | 10.4 | 4.0 | 2.0 | 3.2 | 2.9 |

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------------|---------------|--------|
| 1. | 51.9 | 88.9 | 100.9 | 102.6 | 102.7 |
| 2. | 50.6 | 88.6 | 102.1 | 103.6 | 103.7 |
| 3. | 49.6 | 86.4 | 99.8 | 101.9 | 102.1 |
| 4. | 52.7 | 88.4 | 97.9 | 101.7 | 101.9 |
| 5. | 49.1 | 86.8 | 99.0 | 104.0 | 104.2 |
| 6. | 38.8 | 73.0 | 94.4 | 104.3 | 104.7 |
| 7. | 52.2 | 90.3 | 101.8 | 104.4 | 104.6 |
| 8. | 48.9 | 86.2 | 101.3 | 103.2 | 103.4 |
| 9. | 52.1 | 89.0 | 100.2 | 102.9 | 103.1 |
| 10. | 53.3 | 90.0 | 98.9 | 102.2 | 102.3 |
| 11. | 51.2 | 88.6 | 98.8 | 101.3 | 101.4 |
| 12. | 53.6 | 92.2 | 101.8 | 105.5 | 105.8 |
| Average | 50.3 | 87.4 | 99. 7 | 103.1 | 103.3 |
| SD | 4.0 | 4.8 | 2.2 | 1.3 | 1.3 |
| CV (%) | 7.9 | 5.5 | 2.2 | 1.2 | 1.3 |

Table 2.1.P.2.2.3-3Table Dissolution of Hydroxychloroquine from the test product(batch number: HI12001) in 0.1N HCl (dissolved amount in %)

Table 2.1.P.2.2.3-4

Dissolution of Hydroxychloroquine from the test product (batch number: HI12002) in 0.1N HCl (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------------|-------|--------|--------|--------|--------|
| 1. | 41.1 | 87.6 | 104.1 | 104.5 | 104.3 |
| 2. | 40.8 | 87.4 | 100.2 | 102.2 | 102.2 |
| 3. | 43.4 | 88.9 | 100.1 | 101.2 | 101.1 |
| 4. | 28.8 | 67.7 | 92.2 | 102.9 | 103.0 |
| 5. | 31.9 | 77.1 | 100.3 | 104.5 | 104.7 |
| 6. | 42.2 | 86.2 | 100.6 | 102.2 | 102.1 |
| 7. | 36.7 | 79.7 | 102.1 | 104.3 | 104.1 |
| 8. | 46.3 | 91.3 | 104.3 | 104.7 | 104.6 |
| 9. | 26.8 | 67.9 | 92.6 | 103.9 | 104.2 |
| 10. | 43.6 | 87.8 | 101.5 | 102.5 | 102.5 |
| 11. | 39.7 | 84.4 | 99.1 | 101.2 | 101.5 |
| 12. | 44.5 | 90.0 | 101.9 | 102.4 | 102.4 |
| Average | 38.8 | 83.0 | 99.9 | 103.0 | 103.1 |
| SD | 6.4 | 8.2 | 3.8 | 1.3 | 1.3 |
| <i>CV (%)</i> | 16.5 | 9.9 | 3.8 | 1.3 | 1.2 |

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|---------------|--------|
| 1. | 54.5 | 91.7 | 101.8 | 103.5 | 103.6 |
| 2. | 51.7 | 89.4 | 99.2 | 101.5 | 101.4 |
| 3. | 53.7 | 91.4 | 100.4 | 101.9 | 101.9 |
| 4. | 48.8 | 86.3 | 99.7 | 101.7 | 101.9 |
| 5. | 57.2 | 91.7 | 101.0 | 102.3 | 102.6 |
| 6. | 45.8 | 84.4 | 99.5 | 102.7 | 102.8 |
| 7. | 55.6 | 93.5 | 101.6 | 102.7 | 103.1 |
| 8. | 49.5 | 87.1 | 102.3 | 103.3 | 103.3 |
| 9. | 67.6 | 88.3 | 102.8 | 102.9 | 103.0 |
| 10. | 59.6 | 88.2 | 96.7 | 98.6 | 98.6 |
| 11. | 58.9 | 90.1 | 100.6 | 104.1 | 104.2 |
| 12. | 49.7 | 89.5 | 101.2 | 104.1 | 104.2 |
| Average | 54.4 | 89.3 | 100.6 | 102.4 | 102.6 |
| SD | 6.0 | 2.6 | 1.6 | 1.5 | 1.5 |
| CV (%) | 10.9 | 2.9 | 1.6 | 1.4 | 1.5 |

Table 2.1.P.2.2.3-5Dissolution of Hydroxychloroquine from the test product(batch number: HI12003) in 0.1N HCl (dissolved amount in %)

Summary tablets and charts are prepared to promote the comparison of the products.

Table 2.1.P.2.2.3-6

Dissolution of Hydroxychloroquine from the reference and the test product in 0.1N HCl (average of dissolved amount in %)

| Minutes | Reference product | Test product | | | | | |
|---------|----------------------|----------------------|-------|-------|--|--|--|
| | OR161 | 1 HI12001 HI12002 HI | | | | | |
| 5 | 63.8 | 38.8 | 50.3 | 54.4 | | | |
| 10 | 89.3 | 83.0 | 87.4 | 89.3 | | | |
| 15 | 96.9 | 99.9 | 99.7 | 100.6 | | | |
| 30 | 98.2 | 103.0 | 103.1 | 102.4 | | | |
| 45 | 98.2 | 103.1 | 103.3 | 102.6 | | | |

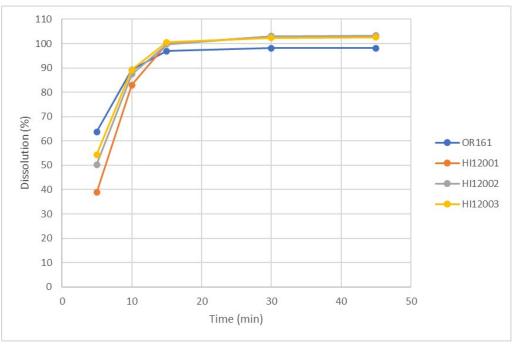


Figure 1 Dissolution of Hydroxychloroquine from the reference and the test product in 0.1N HCl (average of dissolved amount in %)

It can be concluded, that more than 85% of the active agent is dissolved within 15 minutes for all the samples, consequently according to *Guideline on the Investigation of Bioequivalence CPMP/QWP/EWP/1401/98 Rev. 1.* all of these products have not to be compared by using of similarity factor. The dissolution profiles may be accepted as similar without further mathematical evaluation.

| | - | | | | |
|---------|-------|--------|--------|--------|--------|
| | 5 min | 10 min | 15 min | 30 min | 45 min |
| 1. | 59.0 | 81.6 | 88.8 | 91.0 | 93.4 |
| 2. | 68.4 | 90.7 | 96.4 | 97.8 | 99.6 |
| 3. | 49.5 | 76.8 | 87.9 | 91.7 | 94.1 |
| 4. | 53.1 | 75.1 | 84.7 | 89.9 | 93.7 |
| 5. | 59.4 | 85.2 | 97.9 | 99.9 | 100.7 |
| 6. | 62.9 | 86.9 | 95.7 | 97.6 | 98.9 |
| 7. | 51.5 | 72.5 | 87.0 | 88.0 | 88.7 |
| 8. | 47.3 | 74.5 | 81.1 | 92.5 | 95.1 |
| 9. | 50.6 | 78.6 | 87.6 | 98.9 | 100.8 |
| 10. | 57.2 | 78.8 | 93.3 | 98.9 | 100.1 |
| 11. | 56.5 | 82.7 | 93.4 | 93.6 | 97.9 |
| 12. | 46.2 | 76.4 | 87.5 | 89.8 | 91.1 |
| Average | 55.1 | 80.0 | 90.1 | 94.1 | 96.2 |
| SD | 6.6 | 5.5 | 5.2 | 4.2 | 4.0 |
| CV (%) | 12.0 | 6.9 | 5.7 | 4.5 | 4.2 |

| Table 2.1.P.2.2.3-7 |
|--|
| Dissolution of Hydroxychloroquine from the reference product |
| (batch number: OR161) at pH 4.5 (dissolved amount in %) |

Table 2.1.P.2.2.3-8

 Table Dissolution of Hydroxychloroquine from the test product
 (batch number: HI12001) at pH 4.5 (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 52.8 | 88.8 | 100.4 | 104.5 | 104.3 |
| 2. | 44.9 | 80.2 | 97.5 | 101.3 | 101.3 |
| 3. | 52.4 | 90.5 | 101.5 | 104.4 | 103.8 |
| 4. | 49.1 | 82.1 | 97.5 | 101.3 | 101.0 |
| 5. | 55.0 | 89.5 | 100.6 | 103.5 | 103.3 |
| 6. | 48.7 | 87.7 | 102.6 | 105.2 | 104.8 |
| 7. | 50.3 | 83.3 | 99.9 | 102.1 | 101.7 |
| 8. | 42.6 | 76.7 | 96.4 | 103.2 | 103.1 |
| 9. | 47.4 | 83.7 | 98.4 | 103.7 | 103.0 |
| 10. | 42.9 | 76.6 | 95.2 | 101.4 | 101.0 |
| 11. | 47.2 | 84.1 | 99.2 | 102.3 | 102.1 |
| 12. | 40.5 | 76.2 | 96.4 | 103.0 | 102.7 |
| Average | 47.8 | 83.3 | 98.8 | 103.0 | 102.7 |
| SD | 4.5 | 5.1 | 2.3 | 1.3 | 1.3 |
| CV (%) | 9.4 | 6.2 | 2.3 | 1.3 | 1.2 |

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 46.7 | 82.3 | 98.0 | 102.9 | 102.9 |
| 2. | 48.7 | 84.4 | 100.4 | 102.8 | 102.8 |
| 3. | 46.0 | 82.0 | 98.6 | 102.2 | 102.3 |
| 4. | 46.0 | 81.4 | 98.9 | 101.9 | 101.9 |
| 5. | 45.1 | 81.8 | 98.1 | 102.0 | 102.3 |
| 6. | 47.6 | 85.6 | 99.0 | 102.0 | 102.4 |
| 7. | 46.7 | 83.2 | 98.5 | 102.5 | 102.6 |
| 8. | 50.9 | 88.1 | 100.4 | 104.1 | 104.1 |
| 9. | 35.4 | 66.0 | 92.5 | 102.2 | 102.4 |
| 10. | 46.2 | 80.5 | 98.6 | 102.4 | 102.4 |
| 11. | 40.5 | 76.4 | 97.3 | 103.8 | 103.9 |
| 12. | 42.4 | 78.5 | 98.0 | 103.6 | 103.5 |
| Average | 45.2 | 80.9 | 98.2 | 102.7 | 102.8 |
| SD | 4.1 | 5.6 | 2.0 | 0.8 | 0.7 |
| CV (%) | 9.0 | 6.9 | 2.1 | 0.7 | 0.7 |

Table 2.1.P.2.2.3-9Dissolution of Hydroxychloroquine from the test product(batch number: HI12002) at pH 4.5 (dissolved amount in %)

Table 2.1.P.2.2.3-10 Dissolution of Hydroxychloroquine from the test product (batch number: HI12003) at pH 4.5 (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 48.8 | 85.7 | 97.9 | 101.1 | 101.8 |
| 2. | 49.6 | 83.9 | 98.7 | 102.6 | 101.6 |
| 3. | 54.7 | 91.0 | 102.6 | 103.9 | 104.7 |
| 4. | 37.6 | 67.8 | 86.5 | 100.0 | 102.4 |
| 5. | 51.7 | 87.2 | 99.8 | 103.0 | 102.4 |
| 6. | 44.8 | 80.4 | 98.2 | 100.2 | 100.6 |
| 7. | 41.5 | 77.1 | 96.4 | 101.9 | 102.3 |
| 8. | 45.2 | 82.6 | 98.9 | 102.3 | 102.3 |
| 9. | 41.2 | 76.8 | 96.4 | 101.5 | 101.7 |
| 10. | 33.1 | 62.8 | 83.6 | 100.9 | 101.6 |
| 11. | 46.7 | 83.3 | 97.8 | 101.6 | 102.2 |
| 12. | 43.5 | 75.9 | 94.9 | 101.5 | 101.6 |
| Average | 44.9 | 79.5 | 96.0 | 101.7 | 102.1 |
| SD | 6.0 | 8.1 | 5.5 | 1.1 | 1.0 |
| CV (%) | 13.5 | 10.2 | 5.7 | 1.1 | 0.9 |

Summary tablets and charts are prepared to promote the comparison of the products.

| Table 2.1.P.2.2.3-11 |
|--|
| Dissolution of Hydroxychloroquine from the reference and the test product at |
| pH 4.5 (average of dissolved amount in %) |

| Minutes | Reference product | Test product | | | |
|---------|----------------------|--------------|---------|---------|--|
| | OR161 | HI12001 | HI12002 | HI12003 | |
| 5 | 55.1 | 45.2 | 47.8 | 44.9 | |
| 10 | 80.0 | 80.9 | 83.3 | 79.5 | |
| 15 | 90.1 | 98.2 | 98.8 | 96.0 | |
| 30 | 94.1 | 102.7 | 103.0 | 101.7 | |
| 45 | 96.2 | 102.8 | 102.7 | 102.1 | |

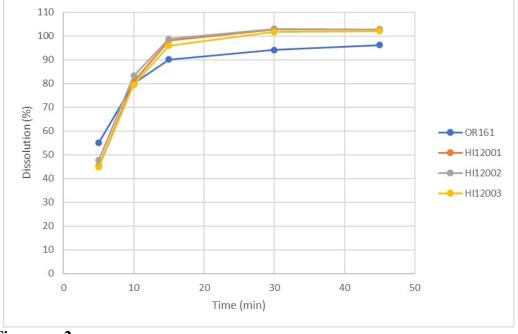


Figure 2 Dissolution of Hydroxychloroquine from the reference and the test product at pH 4.5 (average of dissolved amount in %)

It can be concluded, that more than 85% of the active agent is dissolved within 15 minutes for all the samples, consequently according to *Guideline on the Investigation of Bioequivalence CPMP/QWP/EWP/1401/98 Rev. 1.* all of these products have not to be compared by using of similarity factor. The dissolution profiles may be accepted as similar without further mathematical evaluation.

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 59.9 | 81.9 | 92.9 | 98.3 | 98.4 |
| 2. | 59.9 | 84.7 | 93.0 | 96.4 | 96.9 |
| 3. | 62.3 | 87.1 | 94.6 | 96.8 | 97.6 |
| 4. | 55.4 | 81.2 | 94.2 | 97.2 | 98.3 |
| 5. | 63.4 | 87.9 | 94.1 | 95.6 | 96.8 |
| 6. | 53.3 | 83.7 | 94.4 | 97.6 | 96.7 |
| 7. | 54.8 | 84.0 | 95.1 | 97.8 | 97.5 |
| 8. | 51.9 | 80.7 | 92.4 | 95.9 | 96.3 |
| 9. | 60.0 | 88.1 | 94.4 | 95.0 | 94.8 |
| 10. | 47.8 | 76.9 | 88.9 | 96.0 | 95.6 |
| 11. | 57.0 | 84.4 | 93.7 | 95.1 | 95.0 |
| 12. | 52.8 | 82.6 | 93.1 | 95.1 | 94.8 |
| Average | 56.5 | 83.6 | 93.4 | 96.4 | 96.6 |
| SD | 4.7 | 3.2 | 1.6 | 1.1 | 1.3 |
| CV (%) | 8.3 | 3.9 | 1.7 | 1.2 | 1.3 |

Table 2.1.P.2.2.3-12Dissolution of Hydroxychloroquine from the reference product
(batch number: OR161) at pH 6.8 (dissolved amount in %)

Table 2.1.P.2.2.3-13 Dissolution of Hydroxychloroquine from the test product (batch number: HI12001) at pH 6.8 (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|---------------|--------|
| 1. | 47.7 | 81.6 | 93.2 | 103.2 | 101.0 |
| 2. | 47.8 | 83.4 | 96.3 | 103.4 | 102.8 |
| 3. | 42.9 | 76.1 | 92.8 | 98.6 | 99.7 |
| 4. | 34.5 | 66.6 | 88.5 | 99.8 | 100.9 |
| 5. | 35.4 | 77.8 | 95.1 | 101.3 | 103.1 |
| 6. | 43.6 | 66.5 | 87.9 | 99.6 | 99.1 |
| 7. | 42.0 | 75.3 | 92.3 | 101.0 | 101.1 |
| 8. | 43.9 | 79.2 | 98.2 | 102.9 | 103.3 |
| 9. | 43.5 | 79.6 | 98.1 | 102.7 | 102.9 |
| 10. | 50.2 | 85.4 | 95.2 | 99.9 | 100.5 |
| 11. | 39.8 | 74.8 | 94.3 | 101.2 | 101.4 |
| 12. | 31.3 | 62.5 | 83.2 | 101.0 | 101.5 |
| Average | 41.9 | 75.7 | 92.9 | 101.2 | 101.4 |
| SD | 5.7 | 7.1 | 4.5 | 1.6 | 1.4 |
| CV (%) | 13.7 | 9.4 | 4.8 | 1.5 | 1.3 |

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 43.5 | 78.4 | 97.5 | 102.8 | 103.3 |
| 2. | 44.7 | 79.4 | 97.4 | 102.8 | 103.3 |
| 3. | 40.3 | 74.1 | 94.9 | 102.0 | 102.9 |
| 4. | 43.5 | 76.9 | 94.9 | 102.5 | 103.1 |
| 5. | 43.3 | 79.5 | 98.9 | 103.5 | 104.0 |
| 6. | 39.8 | 74.6 | 95.1 | 101.7 | 102.8 |
| 7. | 37.4 | 70.4 | 90.7 | 102.7 | 103.0 |
| 8. | 45.1 | 82.0 | 96.1 | 100.7 | 101.6 |
| 9. | 46.1 | 82.9 | 98.5 | 102.9 | 103.3 |
| 10. | 39.4 | 72.3 | 91.9 | 102.5 | 103.0 |
| 11. | 50.9 | 85.2 | 95.8 | 101.6 | 102.7 |
| 12. | 43.4 | 79.0 | 96.7 | 103.0 | 103.4 |
| Average | 43.1 | 77.9 | 95.7 | 102.4 | 103.0 |
| SD | 3.6 | 4.4 | 2.5 | 0.8 | 0.6 |
| CV (%) | 8.3 | 5.7 | 2.6 | 0.7 | 0.5 |

Table 2.1.P.2.2.3-14Dissolution of Hydroxychloroquine from the test product(batch number: HI12002) at pH 6.8 (dissolved amount in %)

Table 2.1.P.2.2.3-15 Dissolution of Hydroxychloroquine from the test product (batch number: HI12003) at pH 6.8 (dissolved amount in %)

| | 5 min | 10 min | 15 min | 30 min | 45 min |
|---------|-------|--------|--------|--------|--------|
| 1. | 43.2 | 73.9 | 94.1 | 101.2 | 102.1 |
| 2. | 41.6 | 71.4 | 92.3 | 101.7 | 102.8 |
| 3. | 43.6 | 75.2 | 92.8 | 98.5 | 102.8 |
| 4. | 49.5 | 81.2 | 94.2 | 101.2 | 101.9 |
| 5. | 47.2 | 82.1 | 93.9 | 100.1 | 101.4 |
| 6. | 35.7 | 63.4 | 93.3 | 99.6 | 101.4 |
| 7. | 47.9 | 85.4 | 100.4 | 104.3 | 104.8 |
| 8. | 47.9 | 83.2 | 98.1 | 102.1 | 103.1 |
| 9. | 45.6 | 81.9 | 98.4 | 102.0 | 103.0 |
| 10. | 44.6 | 80.2 | 95.3 | 102.4 | 103.0 |
| 11. | 43.5 | 79.6 | 97.0 | 104.0 | 104.5 |
| 12. | 35.2 | 67.9 | 88.6 | 103.3 | 103.9 |
| Average | 43.8 | 77.1 | 94.9 | 101.7 | 102.9 |
| SD | 4.5 | 6.8 | 3.2 | 1.7 | 1.1 |
| CV (%) | 10.4 | 8.8 | 3.4 | 1.7 | 1.1 |

Summary tablets and charts are prepared to promote the comparison of the products.

| Table 2.1.P.2.2.3-16 |
|--|
| Dissolution of Hydroxychloroquine from the reference and the test product at |
| pH 6.8 (average of dissolved amount in %) |

| Minutes | Reference product | Test product | | |
|---------|----------------------|--------------|---------|---------|
| | OR161 | HI12001 | HI12002 | HI12003 |
| 5 | 56.5 | 41.9 | 43.1 | 43.8 |
| 10 | 83.6 | 75.7 | 77.9 | 77.1 |
| 15 | 93.4 | 92.9 | 95.7 | 94.9 |
| 30 | 96.4 | 101.2 | 102.4 | 101.7 |
| 45 | 96.6 | 101.4 | 103.0 | 102.9 |

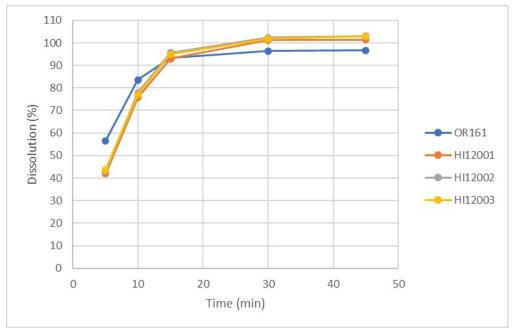


Figure 3 Dissolution of Hydroxychloroquine from the reference and the test product at pH 6.8 (average of dissolved amount in %)

It can be concluded, that more than 85% of the active agent is dissolved within 15 minutes for all the samples, consequently according to *Guideline on the Investigation of Bioequivalence CPMP/QWP/EWP/1401/98 Rev. 1.* all of these products have not to be compared by using of similarity factor. The dissolution profiles may be accepted as similar without further mathematical evaluation.

Hydroxychloroquine MEDITOP 200 mg film-coated tablets 2.1.P.2 Pharmaceutical Development *MEDITOP Pharmaceutical Ltd.*

1.5 Summary of *in vitro* dissolution tests

Method development for the in vitro dissolution method was carried out by using of principles recommended by Ph. Eur. (5.17.1 Recommendations on dissolution testing), EMA/CHMP /CVMP/QWP/336031/2017 reflection paper and the *Guideline* on the Investigation of Bioequivalence CPMP/QWP/EWP/1401/98 Rev. 1

The above-mentioned data demonstrate that over the physiological range, the three batches of the test product Hydroxychloroquine MEDITOP 200 mg film-coated tablets manufactured by Meditop Pharmaceutical Ltd. display the same *in vitro* dissolution characters as the commercial batch of reference product, Plaquenil 200 mg film-coated tablets manufactured by Sanofi Co., Ltd. The data exhibit that the dissolution is very rapid in 0.1 M hydrochloric acid an in buffers of pH 4.5 and pH 6.8. The batches of the developed test product have the same dissolution profile at all the tested pH-s, consequently the robustness of the manufacturing is also supported by these results.

Based on the data the proposed specification limit is Q = 80% at 15 minutes in 0.1 M hydrochloric acid and the applied dissolution method (Apparatus 2; rpm 50)

2.1.P.2.3 Manufacturing Process Development

Predetermined target product profile and the related preliminary risk assessment are presented in the part 2.1.2.2 Drug Product. These methodologies were considered, so the composition of the drug product had been successfully developed for the related manufacturing process development and scale up.

Taking into consideration the target product profile and risk assessment the critical quality attributes and the critical process parameters had been identified for the complex evaluation of the manufacturing process. The critical quality attributes (CQAs) are physical and chemical property or characteristic of the drug, excipients and intermediate(s) that must be controlled directly or indirectly to ensure the quality of the product. The critical process parameters (CPP) are process inputs that have a direct and significant influence on the critical quality attributes of the intermediate(s) or the critical quality attributes of the product when they are varied within regular operation range. CPP and CQAs are linked by risk assessment of the process, so the understanding their connection leads up a product with predefined quality and it decreases the risk of failure.

| particle size |
|---------------------------------------|
| particle size |
| flow properties of the final blend |
| content uniformity of the final blend |
| average weight of the tablets |
| weight uniformity of the tablets |
| disintegration time |
| visual attributes |
| average weight of the product |
| assay |
| uniformity of dose unit |
| dissolution |
| impurities |
| |

Taking into account the well-known CQAs and CPPs of a manufacturing process of an immediate release film-coating tablets produced by wet granulation, the following matrix with the most relevant relationship can be compiled.

Table 2.1.P.2.3-1 CPP of the manufacturing process and CQAs of the intermediates and of the products

| Unit operation | Process parameter | Critical Quality Attributes |
|--|---|--|
| Wet granulation Wet mixing Drying Milling | Blending speed Blending time Drying temperature and time Screen hole size Milling speed | Particle size Bulk density Flowability Loss of drying |
| Final blending | Blending speed Blending time | Blend uniformity |
| | Filling position | Average weight Assay |
| Tableting | Filling speed | Weight uniformity Uniformity of dose unit |
| | Compression force | Tablet parameters: hardness. disintegration time. dissolution rate |
| Coating | Atomization rate Drying temperature and time Drying air volume speed | Appearance of the film- coated tablets |

Based the abovementioned general matrix and speciality of the current development the following evaluations had been identified to understand the manufacturing process. and minimize the related risks.

Evaluation of effect of the particle size of the active substances

Evaluation of effect of the particle size of the excipients

Testing of the granulating process

Testing of the blending process

Testing the tableting process

Testing the coating process

1 Evaluation of effect of the particle size of the active substances

It is well-known that the particle size of the active substances has only small effect at wet granulation because the primer particles form granules that ensure good flowability and compactibility. From the point of dissolution in the case of hydroxychloroquine sulfate due to the its high solubility the particle size of the active substance has only small effect as well.

As the active substance content of the Hydroxychloroquine 200 mg tablets is relatively high. 2/3 part of the tablet weight therefore the particle size has no risk from the point of the homogeneity too.

Consequently. the particle size of Hydroxychloroquine sulfate batch no. C63-200405 considered good for manufacture of the clinical sample.

2 Evaluation of effect of the particle size of the excipients

Among the excipients the particle size of lactose and magnesium stearate is considered as functionality related characteristics.

In the case of lactose, the type that is used is a milled one under brand name Granulac 200 which is a standard crystalline and milled lactose monohydrate for wet granulation process. More than 90 % of the particles are smaller than 100 μ m by airjet-sieving.

The branded type is a guarantee for the proper particle size.

In the case of magnesium stearate there are two main types a normal one which has specific surface area of 1-4 m²/g and another which has 5-12 m²/g. The second one has better lubricating properties however it could decrease the dissolution rate as well.

At development both types were tested and it was found that the lubricating effect of the normal type is enough in the case of Hydroxychloroquine 200 mg tablets.

The results were the followings:

| Components (g) | Number of experiments | | |
|----------------------------|-----------------------|----------|--|
| Components (g) | VAXIX040 | VAXIX048 | |
| Hydroxychloroquine sulfate | 200 | 200 | |
| Lactose monohydrate | 30 | 30 | |
| Povidon | 7 | 7 | |
| Maize starch | 57 | 57 | |
| Magnesium stearate | 6* | 6** | |
| Purified water | 24 | 24 | |
| Blend parameters | | | |
| Loss on drying (%) | 2.9 | 2.1 | |
| Flowability (100 g) (s) | 19 | 30 | |
| Bulk density (g/mL) | 0.83 | 0.77 | |
| Hausner ration (-) | 1.22 | 1.27 | |

Table 2.1.P.2.3-2Tablet core compositions and blend parameters

*normal magnesium stearate (Magnesia)

** Merck: Emprove Essential lub MST of high specific surface area

| Tablet parameters/Nr. of exp. | VAXIX040 | VAXIX048 |
|-------------------------------|----------|----------|
| Average weight (mg) | 299.6 | 307 |
| Weight variation (%) | 1.7 | 0.83 |
| Height of the tablets (mm) | 4.15 | 4.26 |
| Hardness (N) | 128 | 107 |
| Friability (%) | 0.08 | 0.15 |
| Disintegration time (min) | 6.5 | 9.5 |
| Appearance | Suitable | Suitable |

Table 2.1.P.2.3-4Parameters Hydroxychloroquine MEDITOP 200 mg tablets

The data show that due to the better lubrication effect of the magnesium stearate of high specific surface area the weight variation and the hardness of the tablets decreased. while the value of friability and disintegration time increased. Because the normal magnesium stearate gave acceptable results as well it was chosen for the product.

3 Testing of the manufacturing process of tablet making

Concerning the manufacturing process development. the granulating. the blending and compression parameters (especially compression force and time) were identified as possible process inputs which could affect the product quality.

Because the composition had been determined. the influence of the parameters of the different manufacturing steps on the product quality were tested. namely:

- 1. granulation parameters
 - granulation binder
 - composition of inner phase
 - granulation liquid solid phase ratio
 - kneading time
- 2. mixing time. at addition of magnesium-stearate
- 3. effect of compression force
- 4. effect of compression speed

The experiments were made at laboratory scale using the following equipment:

- Hand sieve with 0.8 mm mesh size
- ProCepT 4m8 high shear mixer with 1 Litre capacity
- ProCepT 4m8 fluid drier with 1 Litre capacity
- Korsch EK0 single punch tablet press
- Wynka Kompressor Developer tablet press

4 Development of granulation process

Manufacturing process

Materials to be granulated (inner phase) were sifted through a sieve of 0.8 mm mesh size and filled into the pot of the ProCepT equipment (with 1 Litre capacity) and mixed for 2 minutes with an impeller speed of 100 rpm without chopper.

The maize starch was divided between the inner and the outer phase. The inner phase contains maize starch in equal quantity of lactose.

Granulating liquid was added to the mixture during continuous mixing (300 rpm impeller. 500 rpm chopper speed). The wetting time was 2 minutes. kneading time was 2 minutes or 4 minutes with impeller speed of 500 and chopper speed of 700 rpm. or 1000/1500 rpm.

The granulated blend was dried in the ProCepT 4m8 fluid drier to reach less than 2 % water content. Loss on drying testing is performed at 80 °C.

Materials of external phase were sifted through a screen of 0.8 mm sieve and it was added to the internal phase and mixed for 10 minutes with an impeller speed of 100 rpm. without chopper. Finally, the magnesium stearate was blended for 2 minutes by the same way.

The effect of CPP on the quality of the blend and tablets are summarizes in table 2.1.P.2.3-3.

5 Tableting process

The final blend was compressed into tablets of 300 mg and 9 mm diameter by Wynka Kompressor Developer tablet press.

The compression force was varied from 5 kN to 20 kN by 5 KN the applied compression speeds were 10 or 20 rpm.

The effect of CPP on the quality of the blend and tablets are summarizes in table 2.1.P.2.3-4.

| | Number of experiments | | | | |
|----------------------------|-----------------------|------------|----------|----------|----------|
| Components/CPP/CQA | VAXVIII178 | VAXVIII184 | VAXIX044 | VAXIX052 | VAXIX054 |
| Hydroxychloroquine sul.(g) | 200 | 200 | 200 | 200 | 200 |
| Lactose monohydrate (g) | 30 | 30 | 30 | 30 | 30 |
| Povidon (g) | 7 | 3+3 | 3+3 | 3+3 | 3+3 |
| Maize starch (g) | 30+27=57 | 30+27=57 | 30+27=57 | 30+27=57 | 30+27=57 |
| Magnesium stearate (g) | 6 | 6 | 6 | 6 | 6 |
| Purified water (g) | 24 | 12 | 12 | 12 | 12 |
| Wet mixing time (min) | 2 | 2 | 4 | 4 | 4 |
| Mixing time (min) | | 10 | 10 | 10 | 10* |
| Final mixing time (min) | | 2 | 2 | - | 2 |
| Blend parameters | | | | | |
| Loss on drying (%) | | 2.1 | 2.02 | 2.29 | 2.20 |
| Flowability (100 g) (s) | | 17 | 25 | 23 | 28 |
| Bulk density (g/mL) | | 0.86 | 0.79 | 0.82 | 0.78 |
| Hausner ration (-) | | 1.22 | 1.26 | 1.27 | 1.23 |
| Tablet parameters | | | | | |
| Average weight (mg) | | 295.5 | 304.8 | 301.2 | 305.6 |
| Weight variation (%) | | 1.03 | 1.23 | 1.3 | 1.6 |
| Height of the tablets (mm) | | 4.18 | 4.15 | 4.2 | 4.21 |
| Hardness (N) | | 92 | 114 | 100 | 119 |
| Friability (%) | | 0.38 | 0.1 | 0.28 | 0.06 |
| Disintegration time (min) | | 6.5 | 7.0 | 7.0 | 7.0 |
| Appearance | Drying is too long | Suitable | Suitable | Suitable | Suitable |

Table 2.1.P.2.3-5Final blend and tablet parameters - effect of CPP of granulation

*Mixing speed is 1000/1500 rpm for impeller and chopper

| Components (g) | Number of experiments | | | | |
|----------------------------|--|------|-----------|----------|-------|
| Components (g) | VAXIX040 | | | | |
| Hydroxychloroquine sulfate | | | 200 | | |
| Lactose monohydrate | | | 30 | | |
| Povidon | | | 3.5 + 3.5 | | |
| Maize starch | | | 30+27 | | |
| Magnesium stearate | | | 6 | | |
| Purified water | | | 12 | | |
| Blend parameters | | | | | |
| Loss on drying (%) | | | 2.33 | | |
| Flowability (100 g) (s) | 16 | | | | |
| Bulk density (g/mL) | 0.79 | | | | |
| Hausner ration (-) | 1.26 | | | | |
| Tablet parameter | | | | | |
| Compression force | 15kN | 20kN | 25kN | 30kN | 20kN |
| Compression speed | 10 | 10 | 10 | 10 | 20 |
| Average weight (mg) | 302.9 307 305.1 | | 305.1 | 307 | 307.3 |
| Weight variation (%) | 1.45 1.04 1.37 1.15 | | 1.45 | | |
| Height of the tablets (mm) | 4.23 4.26 4.23 4.24 4. | | | 4.27 | |
| Hardness (N) | 127 134 141 145 12 | | | 121 | |
| Friability (%) | 0.08 0.08 0.08 0.11 0.0 | | | 0.06 | |
| Disintegration time (min) | 6 6 6 6 | | | 6 | |
| Appearance | Suitable Suitable Suitable Suitable Suitable | | | Suitable | |

Table 2.1.P.2.3-6Tablet parameters - effect of CPP of tableting

Coating process

The coating parameters at laboratory and industrial scale selected based on previous experience.

The process parameters used at laboratory scale are summarized in the following tables:

Table 2.1.P.2.3-7Coating parameters at laboratory scale

| Equipment model | ProCept 4M8 |
|------------------------------|------------------------------|
| Batch size | 450 g (750 pcs tablet cores) |
| Drum type | Side ventilled |
| Rotation speed of the drum | 25 rpm |
| Nozzle | 0.8 mm |
| Inlet temperature | 55 – 60 °C |
| Product temperature | 49-51°C |
| Spraying rate | 2.0 g/min |
| Atomization air volume speed | 20 l/h |
| Air volume | $1 \text{ m}^3/\text{min}$ |

According to the experiments the coating parameters above resultate film-coated tablets of a nice appearance.

Based on the results of the product and process development, the re-evaluation of the risk assessment was also executed.

Table 2.1.P2.3-8Hazard Analysis (HA) of manufacture of Hydroxychloroquine MEDITOP200 mg film-coated tablets from the point of Critical Quality Attributes

| CQA | СРР | | | | |
|-------------|--------------------|-----------------|----------------|-----------|---------|
| | Working conditions | Wet granulation | Final blending | Tableting | Coating |
| Appearance | L | Ν | N | L | L |
| Assay | L | Ν | L | L | Ν |
| CU | Ν | L | L | L | Ν |
| Dissolution | Ν | L | N | L | N |
| Impurities | L | Ν | N | Ν | Ν |

Color coding for relative risk ranking:

| High/H | affect quality attributes – further investigations and controls needed in order to reduce risk |
|-----------|---|
| Medium/M | potential to affect quality attributes – further investigations and controls may be needed in order to reduce risk |
| Low/L | low impact on quality attributes – no further investigations needed |
| Low/L | low impact on quality attributes – risk is mitigated. |
| No risk/N | no impact on quality attributes – no further investigations needed |

Table 2.1.P.2.3-7 Revised Risk Assessment for Justification and Risk Mitigation Strategies for Risks of CPP-s

| СРР | CQA | Initial risk | Description of CPP's effect on FP CQAs | Risk mitigation Strategy / Control Strategy Action points | Revised risk |
|--------------------|-------------|--------------|--|--|--------------|
| | Appearance | L | Sensitivity of the components to any environmental parameter | | L |
| Working | Assay | L | (oxygen. temperature. moisture or light) may influence the | Low risk on quality attributes No investigations was needed. | L |
| conditions | Impurities | L | appearance of the product. and may have impact on FP appearance, assay and impurity, if the air condition in the manufacturing place is not proper. | | L |
| | CU | L | | Due to the high AS content and high | L |
| Wet granulation | Dissolution | L | Pre-blending/wetting/aggregation/drying/milling process affects particle size of the blend for compression. Inappropriate particle size affects flowability of the blend, and may have an influence on the content uniformity and may affect the dissolution rate of the AS. | solubility of the AS the inappropriate particle size has low risk for CU and dissolution rate. With standard working the required particle size can be can be ensured. No investigation was needed. | L |
| Final | Assay | L | Due to the high AS content of the tablets with standard | Low risk on quality attributes No | L |
| blending | CU | L | working the required Assay and CU can be ensured. | investigations was needed. | L |
| | Appearance | М | The tableting may affect the appearance of the tablets and their brocess the required appearance, | | L |
| Tableting | Assay | М | | | L |
| Tableting | CU | М | Assay, CU values and the dissolution rate of the AS | | L |
| | Dissolution | М | | | L |
| Coating | Appearance | L | The coating may affect the appearance of the product. | With standard control of the coating process the required appearance can be ensured. | L |

Finally, it can be concluded that as a result of the formulation development and the related manufacture process development, the product and process understanding is suitable for the adequate control strategy, consequently, the potential risks of the non-conformance for Hydroxychloroquine MEDITOP 200 mg film-coated tablets were minimized to an acceptable level.

6 Conclusion

Based on the activities performed in the formulation and process development, the related risks of the production of Hydroxychloroquine MEDITOP 200 mg film-coated tablets could be decreased to low level. Based on the data and observations gained during the development adequate manufacturing and control strategy were developed. Supporting of its effectiveness 3 batches with 100.000 units were manufactured under cGMP condition and the robust manufacturing was proven.

The manufacturing process is given detailed in the part 2.1.P.3 Manufacture. The results of the in-process control tests and the analytical tests are given in the part of 2.1.P.5.4 Batch Analyses.

The detailed investigation of the product is given in the part of 2.1.P.3.5 Process Validation.

At process validation the effect of the compression speed was studied as well.

On the base of process validation, the scale up of the process was successful, therefore the products can be manufactured in larger volume as well.

The compression speed doesn't have significant effect on the tablet parameters. Therefore, the manufacture process can be considered as a robust one from the point of the compression speed as well.

All the parameters of the film-coated tablets fulfilled the requirements of Target Drug Product Profile and the products are adequate to be used in biowaiver approach during the regulatory process.

2.1.P.2.4 Container and closure system

Package size for clinical study 60 tablets in a labelled PE container closed by PP lid.

1 Primary Packaging material

- 40 ml HDPE container
- PP lid

1.1 Polyethylene container (HDPE) M-445

| Description: | White colour, Polyethylene container |
|--------------|--------------------------------------|
| Type: | BS 501-17 |
| Capacity | 40 ml |

1.2 Polypropylene lid D-161

Appearance:Red colour, plastic polypropylene lid with induction insert.Type:Tatren HM 5046

2 Secondary Packaging Materials

According to the prescription of clinical study protocol.

2.1.P.2.5 Microbiological Attributes

The microbiological impurity of the preparation is examined.

- at release
- at the end of the $40^{\circ}C/75\%$ RH storage.
- at the end of the $30^{\circ}C/65\%RH$ storage.
- at the end of the 25°C storage.

The microbiological impurity of the finished product meets the requirements for oral administration of the Ph.Eur. Category 3/A.

| Microbiological impurity: | $TAMC \le 10^3 \text{ CFU/g}$ |
|---------------------------|-------------------------------|
| | $TYMC \le 10^2 CFU/g$ |
| | Absence of Escherichia coli/g |

The microbiological impurity of the finished product is controlled annually.

2.1.P.2.6 Compatibility

This type of compatibility is not appropriate for the products.